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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/081,712	02/21/2001	3761	589	1759-28-3	8	35	5

2002

CONFIRMATION NO. 1992

## FILING RECEIPT



\*OC000000007649415\*

Frederick A. Kaseburg  
GRAYBEAL JACKSON HALEY LLP  
Suite 350  
155-108th Avenue NE  
Bellevue, WA 98004-5901

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 03/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lauri J. DeVore, Seattle, WA;  
Richard O. Shea, Kenmore, WA;  
John H. Wang, Sammamish, WA;

## Assignment For Published Patent Application

Spiration, Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/15/2002

Projected Publication Date: 08/22/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

RECEIVED  
MAR 21 2002

GRAYBEAL JACKSON  
HALEY LLP

Intra-bronchial obstructing device that controls biological interaction with the patient

**Preliminary Class**

128

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lauri J. DeVore et al.  
Serial No.: 10/081,712  
Filing Date: February 21, 2002  
Title: INTRA-BRONCHIAL OBSTRUCTING DEVICE THAT  
CONTROLS BIOLOGICAL INTERACTION WITH THE  
PATIENT  
Attorney Docket No.: 1759-28-3

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this communication, and any document being attached hereto,

☒ is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail  
addressed to: Commissioner for Patents, Washington, D.C. 20231

☐ is being transmitted via facsimile to \_\_\_\_\_

on this 10th day of April, 2002.

  
Signature

## REQUEST FOR CORRECTION OF FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS  
APPLICATIONS PROCESSING DIVISION  
CUSTOMER CORRECTION BRANCH

**Status:**

A Filing Receipt for the above-referenced patent application has been issued by the PTO. The Filing Receipt sets forth an inaccuracy concerning the present application. The instant request provides the PTO with correct data so that the records for this patent application may be appropriately updated.

The Filing Receipt contains an error in the Filing Date section. The Filing Receipt states that the filing date is February 21, 2001. A copy of the first page of the Patent Transmittal is enclosed. It clearly states that the application was mailed via Express Mail on February 21, 2002, and the return receipt postcard and the check for the filing fee (copies enclosed) both indicate that the application was filed in 2002, not 2001.

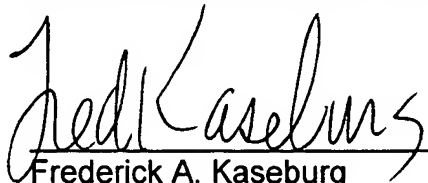
A copy of the PTO's Filing Receipt is included with this request. Reference to the Filing Receipt and the enclosed documents should provide the Office with sufficient evidence to support Applicants' request for correction.

A correction of the Filing Receipt is respectfully requested in this regard.

Should the Customer Correction Branch have any questions concerning this Request for Correction of Filing Receipt, a call to the undersigned is strongly encouraged.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in cursive script, reading "Fred Kaseburg", written over a horizontal line.

Frederick A. Kaseburg  
Attorney for Applicant  
Registration No. 47,695  
155-108th Avenue N.E., Ste 350  
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(425) 455-5575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1759-28-3



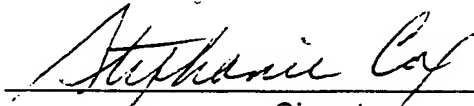
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Date of Deposit: ~~February 21, 2002~~

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR, Section 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 by

  
Signature

BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

Enclosed for filing is the patent application of:

Inventor: Lauri J. DeVore, Richard O. Shea, and John H. Wang

Title: INTRA-BRONCHIAL OBSTRUCTING DEVICE THAT CONTROLS  
BIOLOGICAL INTERACTION WITH THE PATIENT

Including:

- X 1. A specification including claims consisting of 26 pages.
- X 2. Drawings consisting of 8 sheets.
- X 3. Declaration and Power of Attorney.

Additionally:

- X 4. An assignment of the invention to Spiration, Inc. is attached.
- X 5. Applicants claim small entity status.
- X 6. A filing date in accordance with 37 CFR 1.10 is requested  
(Express mail certificate is part of this transmittal letter).

18269

Applicants: Lauri J. DeVore et al. (Spiration)

Title: INTRA-BRONCHIAL OBSTRUCTING DEVICE THAT CONTROLS BIOLOGICAL INTERACTION WITH THE PATIENT

Atty Dk. No. 1759-28-3

Fee for Filing Patent Application

**GRAYBEAL JACKSON HALEY, LLP**155 108TH AVENUE NE, SUITE 350  
BELLEVUE, WASHINGTON 98004-5901  
(425) 455-5575U.S. BANK OF WASHINGTON, NA  
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BELLEVUE, WA 98004

19-10/1250

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⑈018269⑈ ⑆125000105⑆153505710423⑈

Applicant: Brandon James Shuman (Spiration)

Title: INTRA-BRONCHIAL OBSTRUCTING DEVICE THAT CONTROLS BIOLOGICAL INTERACTION WITH THE PATIENT

ICE THAT CONTROLS  
TPapers Submitted: Patent Transmittal; Patent Application with 26 pages of specification; 8 sheets of drawings; Declaration & Power of Attorney;  
Assignment: \$589 check (No. 18269) for the filing fee; \$40 check (No. 18270) for the assignment recordal fee; Express Mail Filing Requestplication with 26 pages of  
er of Attorney;  
\$40 check (No. 18270) for  
est

Received:

j1002 U.S. PTO

10/081712



02/21/02

Sent: 2/20/2002

Sender: spc

Docket: 1759-28-3

X 7.

COMPUTATION OF FEE

	Number Filed	Number Extra	Basic Fee
Claims			<del>\$740/\$370</del>
Total	35 - 20 =	15	x\$18/ <del>\$9</del> = \$135.00-
Indep.	5 - 3 =	2	x\$84/\$42 = \$ 84.00
Multi Dep.	xxx	xxx	x\$280/\$140 = \$-0-

TOTAL Filing Fee: \$589.00

Assignment Recording Fee (\$40.00): \$40.00

- X 8. Check No. 18269 for \$589.00 for filing fee is enclosed.  
X 9. Check No. 18270 for \$40.00 for assignment fee is enclosed.  
   10. No fee is enclosed at this time.  
X 11. Charge any additional fees to Deposit Account No. 07-1897.  
X 12. A copy of this letter is enclosed.  
X 13. A postcard is enclosed as acknowledgment of receipt of this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



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